#### Implementing the Produce Rule

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## Produce Background

- FDA is conducting rulemaking to establish science-based, minimum standards for the safe production and harvesting of fruits and vegetables (including sprouts).
- Sprout operations will be required to comply with <u>all</u> applicable requirements of this regulation (unless otherwise exempt), including sprout-specific provisions in Subpart M



### Proposed Definitions

- "Farm means an establishment under one ownership in one general physical location devoted to the growing and harvesting of crops, the raising of animals (including seafood), or both."
- For the purpose of this rule, we propose to define the term "produce" to mean any fruit or vegetable (including mixes of intact fruits and vegetables) and includes mushrooms, <u>sprouts</u> (irrespective of seed source), peanuts, tree nuts and herbs.



#### Farms Excluded from Coverage

- Proposed Rule (2013)
  - Rule would not cover farms that have an average annual value of <u>food</u> sold during the previous three-year period of \$25,000 or less
- Supplemental Proposed Rule (2014)
  - Rule would not cover farms that have an average annual value of <u>produce</u> sold during the previous three-year period of \$25,000 or less
- Qualified exemptions are based on market channels and annual food sales





#### Small and Very Small Farms

- FDA Action in Supplemental Proposed Rule
  - Monetary thresholds for small business and very small business farms have also been changed to be based on <u>produce</u> sales, rather than <u>food</u> sales.



# FSMA Implementation

- Phase 1: Set standards
  - Develop regulations, guidance, policy
- Phase 2: Develop plans for promoting industry compliance with FSMA Rules
  - Design strategies and frameworks
- Phase 3: Implement, monitor and evaluate



#### FSMA Operational Strategy

- Provides a foundation for fully developing and implementing FSMA standards
- Captures in broad, high-level terms our current thinking on strategy and guiding principles

http://www.fda.gov/Food/GuidanceRegulation/FSMA/ucm395105.htm



# Key Principles

- Utilize a risk-based approach to prioritize and allocate resources for implementation activities
- Invest in specialized training and implement produce safety in a way that:
  - Promotes consistency in regulatory approach and decision making
  - Allows the flexibility needed to recognize the diversity and complexity of the produce farming community



#### Key Principles

- Work closely with the farming/sprouting community, government agencies, academia, and other partners
- Recognize the role of the marketplace in influencing and expanding industry compliance with the produce safety rule
- Develop meaningful public health metrics to measure success, such as reductions in produce-related foodborne illnesses





## Inspections and Compliance

- By increasing education and accessibility to technical assistance, the agency intends to foster and facilitate compliance.
- Inspectional approach includes new ways to enhance collaboration and assist states to adjust and perform the majority of onfarm activities (as funding permits), while FDA provides scientific support and assistance, as needed.



## Training: Rule Requirements

- FDA is working with two organizations to develop training on the regulatory requirements of the Produce Safety Rule
  - The Produce Safety Alliance (PSA), centered at Cornell University, is developing a curriculum to help the farming community understand the requirements in the rule and how to comply with these requirements
  - The Sprout Safety Alliance (SSA), centered at the Illinois Institute of Technology, is developing a curriculum to assist sprout growers



#### **Technical Assistance**

- Two elements to technical assistance:
  - Compliance assistance
  - Science / technical support
- Partners in providing technical assistance
  - FDA Technical Assistance Center
  - Regional FDA Produce Safety Network
  - USDA/AMS
  - Land-Grant University Cooperative Extension Services
  - PSA/SSA
  - State and foreign governments



# Federal Register: Open Docket





#### Thank You



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